

For Adviser Use Only
BNY Pershing Fee Code
Account Ref:

1. Your Personal Information

Title	<input type="text"/>			Surname	<input type="text"/>		
First Name(s)	<input type="text"/>						
Date of Birth	<input type="text"/>			Nationality	<input type="text"/>		
Permanent Residential Address	<input type="text"/>						
	<input type="text"/>						
	<input type="text"/>						
	<input type="text"/>			Postcode	<input type="text"/>		
National Insurance Number	<input type="text"/>						
Telephone Number (Daytime)	<input type="text"/>			Telephone Number (Evening/Mobile)	<input type="text"/>		
Email Address	<input type="text"/>						

2. Your Nominated Bank Account Details

This account is for payment of income and withdrawal requests and **must be in the name of the investor**.

Name of Bank	<input type="text"/>			Sort Code	<input type="text"/>		
Address of Bank	<input type="text"/>			Account Number	<input type="text"/>		
	<input type="text"/>						
	<input type="text"/>			Postcode	<input type="text"/>		
Account Name (Account must be in the name of the investor.)	<input type="text"/>						

Please select one option from the below:

Income from my investments should be paid monthly into the above bank account

Income from my investments should be reinvested into my portfolio (where available)

Income from my investments should be credited to my investment account(s)

☐
☐
☐

3. Your Subscriptions

I wish to subscribe to a Stocks & Shares ISA for the tax year ending April 20 And each subsequent year until further notice.

I wish to subscribe

£

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Please note: Subscriptions will only be made if funds are available at time of application. If we cannot proceed with the subscription, we will return the application to your financial adviser for resubmission when funds are available.

Subscriptions must draw on the investor's bank account as **we do not accept third party payments**.

All cheques should be made payable to: **Pershing Securities Limited**

4. ISA Initial Adviser Fees

New Investments

Rate	<input type="text"/>	%	or	Value	£	<input type="text"/>
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5. ISA Annual Adviser Fees

If rates are tiered then specify the % rate applicable to lowest value band. If no rate is specified then 0% will be the default.

Annual

<input type="text"/>	%	or	£	<input type="text"/>
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Please charge ISA annual fees to my account:

Yes ☐ No ☐

6. BNY Pershing Fees

BNY Pershing fees will be charged in accordance with the charges notified to you by your financial adviser.

7. Authorisation and Declaration

I authorise Pershing Securities Limited, the ISA manager, to open a Stocks & Shares ISA on my behalf for the tax year shown above and each subsequent tax year until further notice. I declare that:

- All subscriptions made and to be made, belong to me.
- I am 18 years of age or over.
- I have not subscribed and will not subscribe more than the overall ISA subscription limit total in the same tax year.
- I am resident and ordinarily resident in the UK for tax purposes, or if not so resident, either perform duties which, by virtue of Section 28 of the Income Tax (Earnings & Pensions) Act 2003 (Crown employees serving overseas), are treated as being performed in the United Kingdom, or I am married to or in a civil partnership with a person who performs such duties. I will inform Pershing Securities Limited if I cease to be resident and ordinarily resident or to perform such duties or to be married to a person who performs such duties or in a civil partnership with a person who performs such duties.
- The information given within this form has been completed to the best of my knowledge.
- I have read, understood and agree to the ISA Terms and Conditions and agree that my account will be operated in accordance with them.

I authorise Pershing Securities Limited:

- To hold my cash subscriptions, ISA investments, interest, dividends and any other rights or proceeds in respect of these investments and any other cash.
- To make on my behalf any claims to relief from tax in respect of ISA investments.

I understand that Pershing Securities Limited will notify me if by reason of failing to meet the provisions of the ISA rules my account is or will become void.

I will inform Pershing Securities Limited of any change of circumstances affecting the information given in this form without delay.

Signed

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Date

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