

# Cautious (0–40% Equity) Higher Income



**7.7%**

TOTAL RETURN  
(LAST 1 YEAR)

**2.2%**

PORTFOLIO YIELD

**0.25%+VAT**

HAWKSMOOR ANNUAL  
MANAGEMENT CHARGE

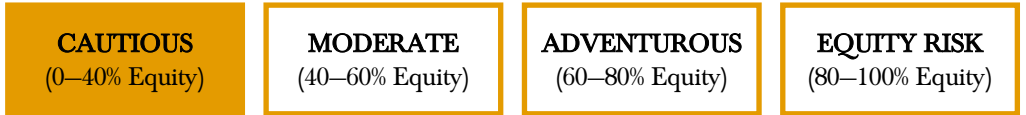
**0.62%**

ONGOING CHARGES OF  
UNDERLYING FUNDS

**0.13%**

TRANSACTIONAL AND  
INCIDENTAL COSTS OF  
UNDERLYING FUNDS

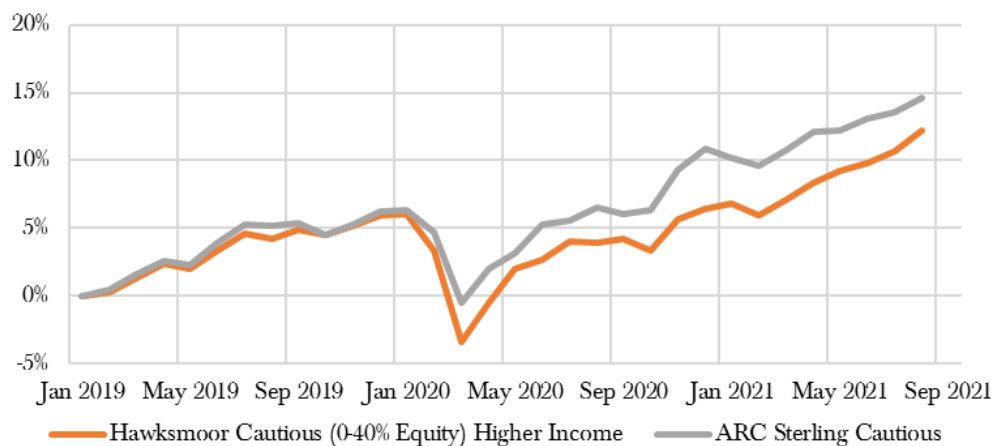
Lower Risk Higher Risk



**Cautious (0 –40% Equity) portfolios** are intended for clients where a degree of equity risk is appropriate through an investment cycle, but where the longer-term preservation of capital is of primary importance. The portfolio will be managed with an asset allocation and risk profile consistent with a Defaqto Risk Profile of 3. You should be looking to invest for at least 3 years. The objective of this portfolio is to provide returns primarily from income.



### PERFORMANCE (as at 31/08/21)



	1 month	3 months	6 months	1 year	Since inception 04/02/2019
<b>Hawksmoor Cautious</b> (0-40% Equity) Higher Income Portfolio	1.2	2.8	5.9	7.7	12.2
<i>ARC Sterling Cautious</i>	0.9	1.9	4.6	7.3	14.6

<i>Discrete Annual Calendar</i>	2021(YTD)	2020	2019	2018	2017
<b>Hawksmoor Cautious</b> (0-40% Equity) Higher Income Portfolio	4.8	1.0	6.0	N/A	N/A
<i>ARC Sterling Cautious</i>	3.6	4.2	6.2	N/A	N/A

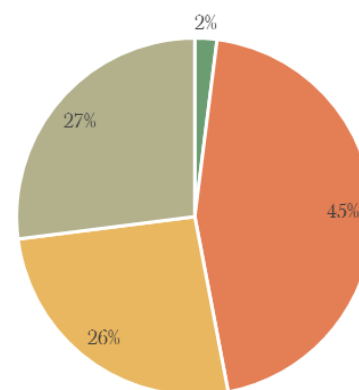
ALL MODEL PORTFOLIOS ARE AVAILABLE ON THE FOLLOWING PLATFORMS: 7IM, Aegon Retirement, Aviva, Ascentric, Fidelity FundsNetwork, Novia, Nucleus, Quilter Standard Life Wrap, Transact

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## CURRENT POSITIONING

	Yield %	Risk Score	Weight %
<b>Cash</b>	<b>0.0</b>	<b>1</b>	<b>2</b>
<b>Fixed Income</b>			<b>45</b>
Royal London Short Dur. Global High Yield Bond	4.5	3	3
TwentyFour Monument Bond	1.5	3	5
Aviva Strategic Bond	2.3	4	5
Vanguard UK Short-Term Investment Grade Index	1.2	2	5
Church House Investment Grade Fixed Interest	0.9	2.5	5
M&G UK Inflation Linked Corporate Bond	0.0	2	5
Schroder Strategic Credit	4.3	3	5
Artemis Strategic Bond	4.0	3.5	5
Allianz Strategic Bond	4.2	3.5	5
M&G Global Macro Bond	1.5	4	2
<b>Equities</b>			<b>26</b>
BlackRock UK Income	2.9	5	3
Janus Henderson UK Responsible Income	3.5	5	3
iShares UK Equity Index	2.4	5	4
Fidelity Global Dividend	2.3	5	5
Schroder Global Equity Income	2.3	5	5
Janus Henderson Global Equity Income	3.0	5	6
<b>Alternatives</b>			<b>27</b>
Gravis UK Listed Property <sup>2</sup>	6.5	4	5
Foresight Sustainable Real Estate Securities	0.0	4	6
Church House Tenax Absolute Return Strategies	0.9	2.5	4
RM Alternative Income	0.0	4	5
Fortem Capital Progressive Growth	0.0	4	4
WisdomTree Physical Gold GBP <sup>1</sup>	0.0	3.5	3
<b>Weighted Average</b>	<b>2.2</b>	<b>3.7</b>	

## ASSET ALLOCATION



	Policy Range	Current
Cash	2%	2%
Fixed Income	45–65%	45%
Equities	0–40%	26%
Alternatives	15–35%	27%
Hawksmoor Risk Score	2–4	3.7

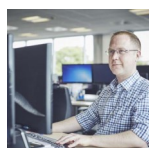
<sup>1</sup> WisdomTree Physical Gold is ineligible on Standard Life, Novia, Nucleus and Transact only. This allocation is instead held in L&G All Stocks Gilt Index.

<sup>2</sup> Due to fund availability Gravis UK Listed Property fund is unavailable on Aegon, Ascentric, Standard Life, Aviva, Nucleus and Old Mutual. In its place will be the Gravis UK Listed Property Feeder fund.

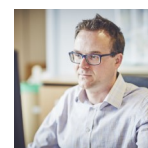
The Hawksmoor Model Portfolio Service is managed by:



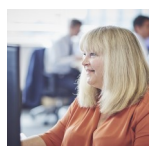
**Jim Wood-Smith**  
Chief Investment Officer,  
Private Clients



**Gary Martin**  
Investment Manager



**James Clark**  
Senior Fund Analyst



**Jill Gill**  
Adviser Relationship Manager



**Emily Cave**  
MPS/ Research Assistant

For more information, please contact Jill Gill on 07846 932888 or at [jill.gill@hawksmoorim.co.uk](mailto:jill.gill@hawksmoorim.co.uk)

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# Moderate (40–60% Equity) Higher Income



27.9%

TOTAL RETURN  
(LAST 5 YEARS)

2.6%

PORTFOLIO YIELD

0.25%+VAT

HAWKSMOOR ANNUAL  
MANAGEMENT CHARGE

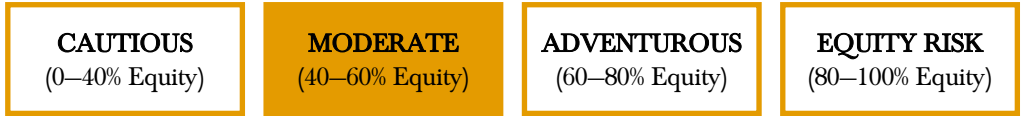
0.70%

ONGOING CHARGES OF  
UNDERLYING FUNDS

0.20%

TRANSACTIONAL AND  
INCIDENTAL COSTS OF  
UNDERLYING FUNDS

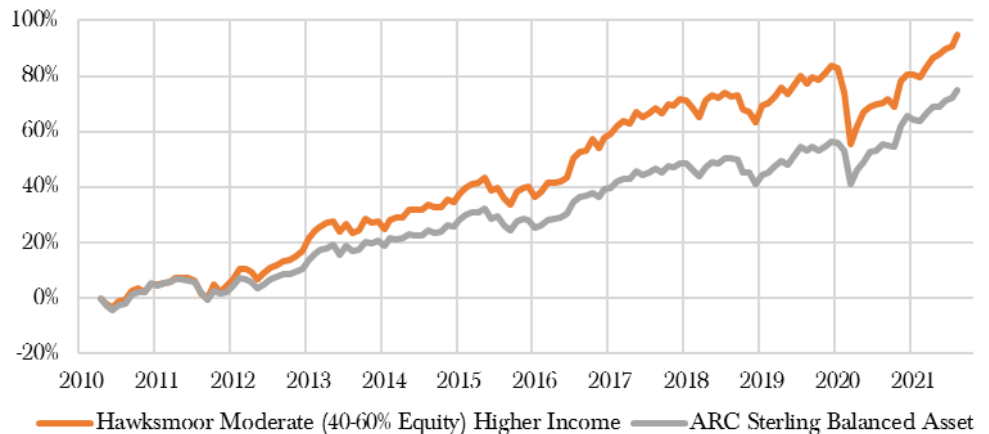
Lower Risk Higher Risk



**Moderate (40–60% Equity) portfolios** are intended for clients seeking returns in excess of inflation, typically with an equity content of close to 50%. These returns are not to the exclusion of the longer-term preservation of capital. The Portfolio value will tend to rise and fall with equity markets, but to a lesser degree. The portfolio will be managed with an asset allocation and risk profile consistent with a Dynamic Planner Fund Risk Profile of 5. You should be looking to invest for at least 5 years. The objective of this portfolio is to provide returns primarily from income.



PERFORMANCE (as at 31/08/21)



	3 months	1 Year	3 years	5 years	Since inception 22/04/2010
<b>Hawksmoor Moderate (40-60% Equity) Higher Income Portfolio</b>	3.9	13.8	13.1	27.9	94.9
<i>ARC Sterling Balanced Asset</i>	3.2	12.1	16.3	28.2	75.0

<i>Discrete Annual Calendar</i>	2021(YTD)	2020	2019	2018	2017
<b>Hawksmoor Moderate (40-60% Equity) Higher Income Portfolio</b>	7.6	-1.1	12.6	-5.1	8.9
<i>ARC Sterling Balanced Asset</i>	6.3	4.3	11.7	-5.1	6.7

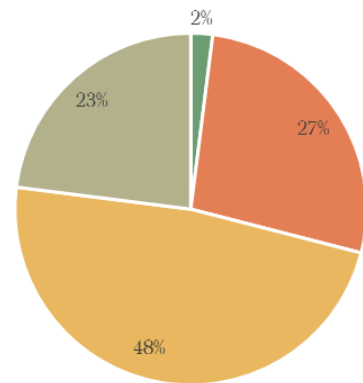
ALL MODEL PORTFOLIOS ARE AVAILABLE ON THE FOLLOWING PLATFORMS: 7IM, Aegon Retirement, Aviva, Ascentric, Fidelity FundsNetwork, Novia, Nucleus, Quilter Standard Life Wrap, Transact

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## CURRENT POSITIONING

	Yield %	Risk Score	Weight %
<b>Cash</b>	<b>0.0</b>	<b>1</b>	<b>2</b>
<b>Fixed Income</b>			<b>27</b>
Royal London Short Dur. Global High Yield Bond	4.5	3	6
Polar Capital Global Convertibles	4.2	4	4
M&G Emerging Markets Bond	6.1	5	3
Artemis Strategic Bond	4.0	3.5	3
Allianz Strategic Bond	4.2	3.5	3
TwentyFour Monument Bond	1.5	3	4
Schroder Strategic Credit	4.3	3	4
<b>Equities</b>			<b>48</b>
BlackRock UK Income	2.9	5	4
JO Hambro UK Dynamic	2.4	6	4
Franklin UK Equity Income	4.0	5	4
iShares UK Equity Index	2.4	5	4
Liontrust US Income	1.5	5	6
BlackRock Continental European Income	2.5	5	4
Jupiter Japan Income	2.2	6	3
Schroder Asian Income	3.1	5.5	3
Fidelity Asian Dividend	3.6	5.5	2
ASI Global Emerging Markets Equity Inc	2.7	7	3
Janus Henderson Global Equity Income	3.0	5	5
Fidelity Global Dividend	2.3	5	3
WHEB Sustainability	0.7	6	3
<b>Alternatives</b>			<b>23</b>
Gravis UK Listed Property <sup>2</sup>	6.5	4	5
Foresight Sustainable Real Estate Securities	0.0	4	4
RM Alternative Income	0.0	4	6
Fortem Capital Progressive Growth	0.0	4	5
WisdomTree Physical Gold GBP <sup>1</sup>	0.0	3.5	3
<b>Weighted Average</b>	<b>2.6</b>	<b>4.5</b>	

## ASSET ALLOCATION



	Policy Range	Current
Cash	2%	2%
Fixed Income	30–50%	27%
Equities	40–60%	48%
Alternatives	0–20%	23%
Hawksmoor Risk Score	3–5	4.5

<sup>1</sup> WisdomTree Physical Gold is ineligible on Standard Life, Novia, Nucleus and Transact only. This allocation is instead held in L&G All Stocks Gilt Index.

<sup>2</sup> Due to fund availability Gravis UK Listed Property fund is unavailable on Aegon, Ascentric, Standard Life, Aviva, Nucleus and Old Mutual. In its place will be the Gravis UK Listed Property Feeder fund.

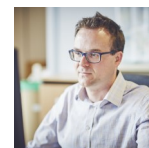
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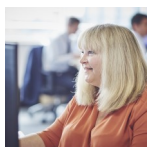
**Jim Wood-Smith**  
Chief Investment Officer,  
Private Clients



**Gary Martin**  
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**James Clark**  
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# Adventurous (60–80% Equity) Higher Income



19.3%

TOTAL RETURN  
(LAST 1 YEAR)

2.9%

PORTFOLIO YIELD

0.25%+VAT

HAWKSMOOR ANNUAL  
MANAGEMENT CHARGE

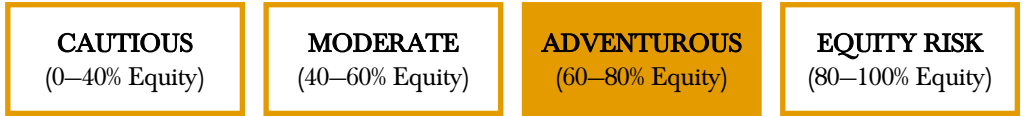
0.75%

ONGOING CHARGES OF  
UNDERLYING FUNDS

0.22%

TRANSACTIONAL AND  
INCIDENTAL COSTS OF  
UNDERLYING FUNDS

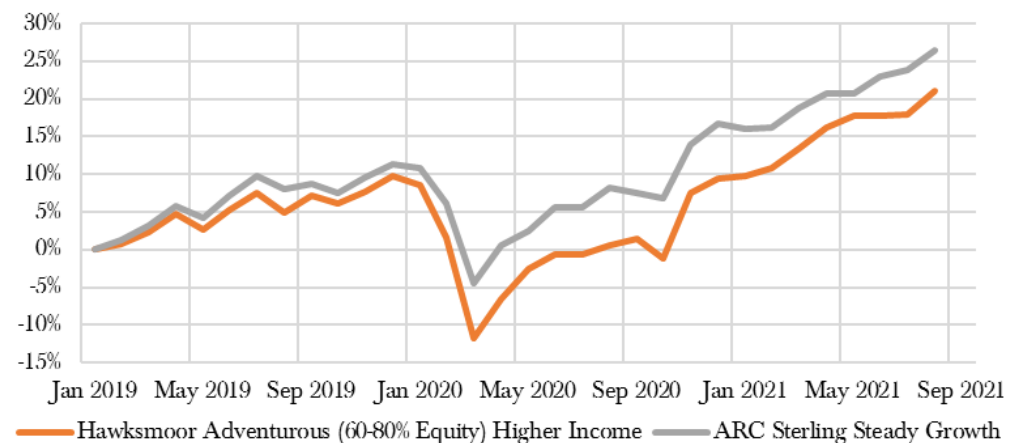
Lower Risk Higher Risk



**Adventurous (60–80% Equity) portfolios** are intended for clients seeking returns similar to global equity markets, though not to the exclusion of capital preservation. The Portfolio value will tend to rise and fall with equity markets. The portfolio will be managed with an asset allocation and risk profile consistent with a Defaqto Risk Profile of 6. You should be looking to invest for at least 7 years. The objective of this portfolio is to provide returns primarily from income.



### PERFORMANCE (as at 31/08/21)



	1 month	3 months	6 months	1 year	Since inception 04/02/2019
<b>Hawksmoor Adventurous (60-80% Equity) Higher Income Portfolio</b>	2.4	3.6	9.3	19.3	21.1
<i>ARC Sterling Steady Growth</i>	2.2	4.3	9.4	16.4	26.5

Discrete Annual Calendar	2021(YTD)	2020	2019	2018	2017
<b>Hawksmoor Adventurous (60-80% Equity) Higher Income Portfolio</b>	10.0	0.3	9.8	N/A	N/A
<i>ARC Sterling Steady Growth</i>	8.6	4.6	11.4	N/A	N/A

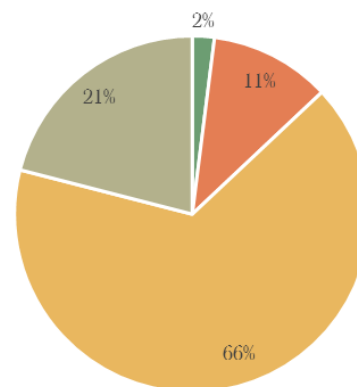
ALL MODEL PORTFOLIOS ARE AVAILABLE ON THE FOLLOWING PLATFORMS: 7IM, Aegon Retirement, Aviva, Ascentric, Fidelity FundsNetwork, Novia, Nucleus, Quilter Standard Life Wrap, Transact

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## CURRENT POSITIONING

	Yield %	Risk Score	Weight %
<b>Cash</b>	<b>0.0</b>	<b>1</b>	<b>2</b>
<b>Fixed Income</b>			<b>11</b>
Artemis Strategic Bond	4.0	3.5	3
Baillie Gifford High Yield Bond	4.2	4	2
M&G Emerging Markets Bond	6.1	5	3
Polar Capital Global Convertibles	4.2	4	3
<b>Equities</b>			<b>66</b>
iShares UK Equity Index	2.4	5	4
Franklin UK Equity Income	4.0	5	5
BlackRock UK Income	2.9	5	5
Janus Henderson UK Responsible Income	3.5	5	4
Aberforth UK Small Companies	0.8	6	2
Liontrust US Income	1.5	5	7
BlackRock Continental European Income	2.5	5	5
Schroder Asian Income	3.1	5.5	5
Fidelity Asian Dividend	3.6	5.5	3
Baillie Gifford Japanese Income Growth	2.0	7	4
ASI Global Emerging Markets Equity Inc	2.7	7	4
Schroder Global Equity Income	2.3	5	4
Janus Henderson Global Equity Income	3.0	5	5
Fidelity Global Enhanced Income	4.6	5	5
Polar Capital Global Insurance	1.4	5	4
<b>Alternatives</b>			<b>21</b>
Gravis UK Listed Property <sup>1</sup>	6.5	4	5
Foresight Sustainable Real Estate Securities	0.0	4	4
RM Alternative Income	0.0	4	5
Fortem Capital Progressive Growth	3.2	4	5
Jupiter Gold & Silver	0.0	6.5	2
<b>Weighted Average</b>	<b>2.9</b>	<b>4.9</b>	

## ASSET ALLOCATION



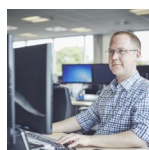
	Policy Range	Current
Cash	2%	2%
Fixed Income	10–30%	11%
Equities	60–80%	66%
Alternatives	0–20%	21%
Hawksmoor Risk Score	4–6	4.9

<sup>1</sup>Due to fund availability *Gravis UK Listed Property* fund is unavailable on Aegon, Ascentric, Standard Life, Aviva, Nucleus and Old Mutual. In its place will be the *Gravis UK Listed Property Feeder* fund.

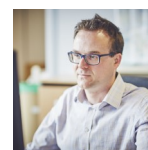
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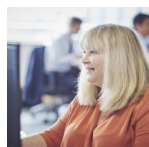
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# Equity Risk (80–100% Equity) Higher Income



23.0%

TOTAL RETURN  
(LAST 1 YEAR)

2.7%

PORTFOLIO YIELD

0.25%+VAT

HAWKSMOOR ANNUAL  
MANAGEMENT CHARGE

0.77%

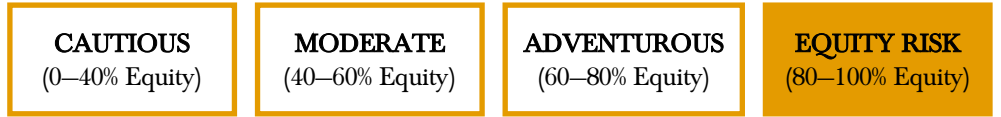
ONGOING CHARGES OF  
UNDERLYING FUNDS

0.20%

TRANSACTIONAL AND  
INCIDENTAL COSTS OF  
UNDERLYING FUNDS

Lower Risk

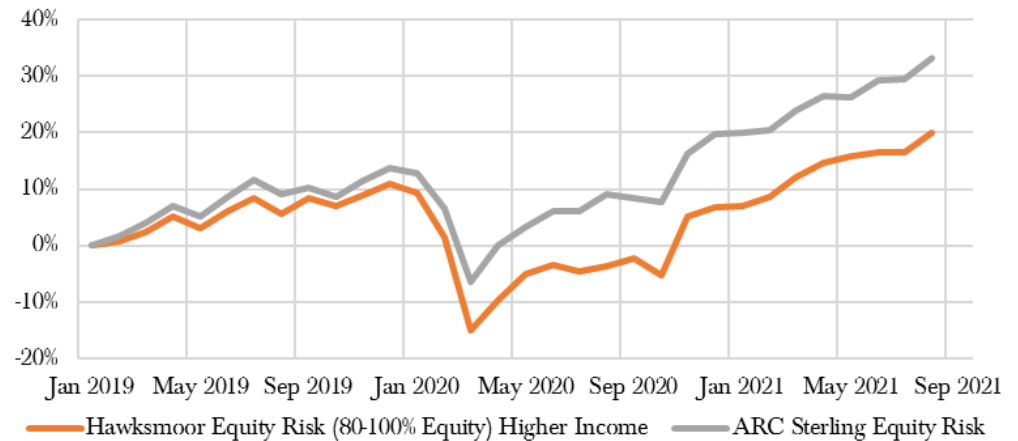
Higher Risk



**Equity Risk (80–100% Equity) portfolios** are intended for clients seeking returns similar to global equity markets. The Portfolio value will tend to rise and fall with equity markets. The portfolio will be managed with an asset allocation and risk profile consistent with a Defaqto Risk Profile of 7. You should be looking to invest for at least 7 years. The objective of this portfolio is to provide returns primarily from income.



## PERFORMANCE (as at 31/08/21)



	1 month	3 months	6 months	1 year	Since inception 04/02/2019
<b>Hawksmoor Equity Risk (80-100% Equity) Higher Income Portfolio</b>	2.8	4.0	10.8	23.0	20.1
<i>ARC Sterling Equity Risk</i>	2.8	4.9	11.1	21.1	33.1

Discrete Annual Calendar	2021(YTD)	2020	2019	2018	2017
<b>Hawksmoor Equity Risk (80-100% Equity) Higher Income Portfolio</b>	12.0	-3.2	10.9	N/A	N/A
<i>ARC Sterling Equity Risk</i>	10.6	5.8	13.7	N/A	N/A

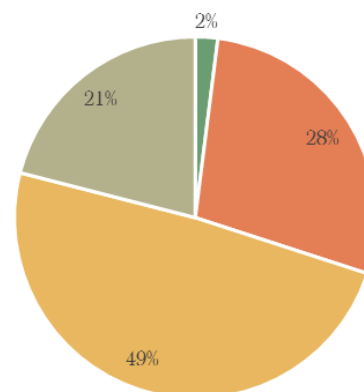
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## CURRENT POSITIONING

	Yield %	Risk Score	Weight %
<b>Cash</b>	<b>0.0</b>	<b>1</b>	<b>2</b>
<b>UK Equities</b>			<b>28</b>
Franklin UK Equity Income	4.0	5	5
iShares UK Equity Index	2.4	5	3
JO Hambro UK Dynamic	2.4	6	5
BlackRock UK Income	2.9	5	5
Schroder Income Maximiser	6.3	5	3
Janus Henderson UK Responsible Income	3.5	5	4
Aberforth UK Small Companies	0.8	6	3
<b>Global Equities</b>			<b>49</b>
Liontrust US Income	1.5	5	7
BlackRock Continental European Income	2.5	5	5
Schroder Asian Income	3.1	5.5	6
Fidelity Asian Dividend	3.6	5.5	3
Baillie Gifford Japanese Income Growth	2.0	7	4
Jupiter Japan Income	2.2	6	3
ASI Global Emerging Markets Equity Inc	2.7	7	5
Schroder Global Equity Income	2.3	5	5
Janus Henderson Global Equity Income	3.0	5	6
Fidelity Global Dividend	2.3	5	5
<b>Thematic Equities</b>			<b>21</b>
Gravis UK Listed Property <sup>1</sup>	6.5	4	5
RM Alternative Income	0.0	4	6
Polar Capital Global Insurance	1.4	5	4
Fortem Capital Progressive Growth	3.2	4	6
<b>Weighted Average</b>	<b>2.7</b>	<b>5.1</b>	

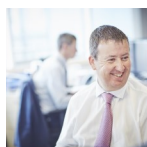
## ASSET ALLOCATION



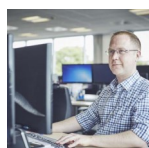
	Policy Range	Current
Cash	2%	2%
UK Equities	80–100%	28%
Global Equities		49%
Thematic Equities		21%
Hawksmoor Risk Score	5–8	5.1

<sup>1</sup>Due to fund availability *Gravis UK Listed Property* fund is unavailable on Aegon, Ascentric, Standard Life, Aviva, Nucleus and Old Mutual. In its place will be the *Gravis UK Listed Property Feeder* fund.

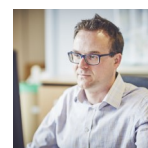
The Hawksmoor Model Portfolio Service is managed by:



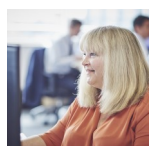
**Jim Wood-Smith**  
Chief Investment Officer,  
Private Clients



**Gary Martin**  
Investment Manager



**James Clark**  
Senior Fund Analyst



**Jill Gill**  
Adviser Relationship Manager



**Emily Cave**  
MPS/ Research Assistant

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