



HAWKSMOOR  
INVESTMENT MANAGEMENT



DISCRETIONARY PORTFOLIO  
MANAGEMENT SERVICE



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# Welcome to Hawksmoor



We are an award-winning investment management business that specialises in providing highly personalised discretionary management services for private clients, trusts, pension schemes and charities.

Our experienced and well-qualified team of investment professionals is focused on providing all our clients with a high-quality service.

Our investment philosophy is based on what we believe to be every client and investor's basic objective: that they should expect to receive a net return in excess of inflation, taxes and charges over the longer term.

Underlying this deceptively simple ambition is our rigorous and disciplined process, defining our asset allocation and security selection procedures.



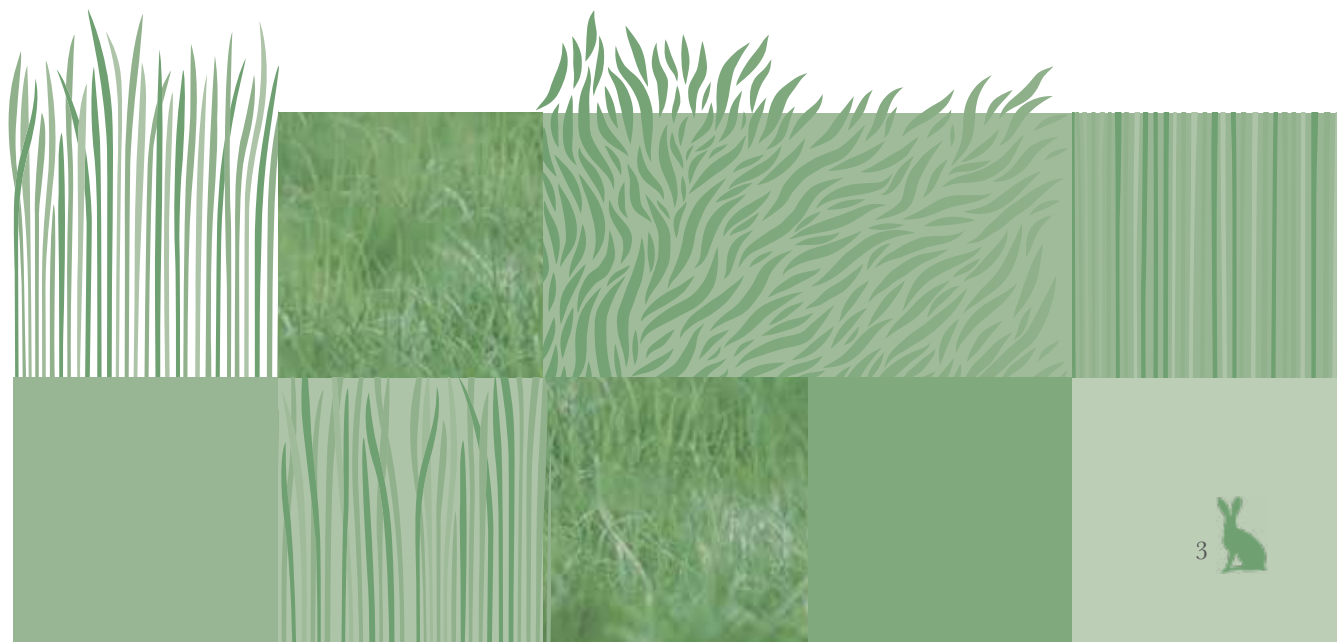
# Our Discretionary Portfolio Management Service



Our Discretionary Portfolio Management Service (DPMS) is a bespoke service which allows you to build a portfolio of investments that match your financial needs, but with the investment decisions and transactions being managed by a highly qualified and dedicated Investment Manager.

We invest both directly and through tax-efficient structures such as Self-Invested Personal Pensions (SIPPs), Offshore Bonds, Individual Savings Accounts (ISAs) and Junior ISAs, and we can utilise your Capital Gains Tax allowances for maximum tax efficiency.

Our DPMS is based on a global, multi asset, actively managed style with a rigorous approach to research and investment selection. We look for the best opportunities across all asset classes and from across the world.



# Becoming a Hawksmoor client

Becoming a Hawksmoor client is easy and straightforward.

1 ● Your dedicated Investment Manager conducts a free, no-obligation in-depth consultation with you to establish

- your attitude to and tolerance of risk (also known as your ‘risk level’) which is categorised as one of the following:

- Low
- Low to moderate
- Moderate
- Moderate to high
- High

- your investment objective, which will be either:

- Capital growth
- Income
- A balance of Capital growth and Income

- your tax position

- your investment preferences (including your attitude towards sustainability issues) that resonate with your values

2 ● Your Investment Manager compiles an investment report that recommends investments that match your risk level, your investment objective and your individual preferences. The report will include a bespoke illustrative portfolio that reflects your unique requirements. Your Investment Manager will discuss this with you.

3 ● Your Investment Manager builds and then closely monitors your bespoke portfolio, making any necessary changes to ensure it is suitably invested at all times.

Your annual ISA and Capital Gains Tax allowances can be utilised so that your portfolio is managed as tax efficiently as possible.

For further information about how we construct and manage your investment portfolio, please see our *Explaining Your Portfolio* document.

For details of our charges please see the Discretionary Portfolio Management Service *Schedule of Charges*.



# Communicating with you



You can have regular meetings with your Investment Manager to review your ongoing requirements, and to ensure these are reflected in your portfolio.



Every quarter, you will receive a valuation report which shows the performance of your portfolio relative to appropriate benchmarks or indices.



You will also receive our quarterly newsletter which explains what has happened in investment markets in recent months, and lays out our expectations for the future.



After the end of each tax year, you will receive a comprehensive tax report, which includes a Consolidated Tax Voucher, and a Capital Gains Tax report which can be tailored if you live in a tax jurisdiction other than the UK.



You can contact your Investment Manager directly by phone or email, and you can view your portfolio at any time via our online portal.



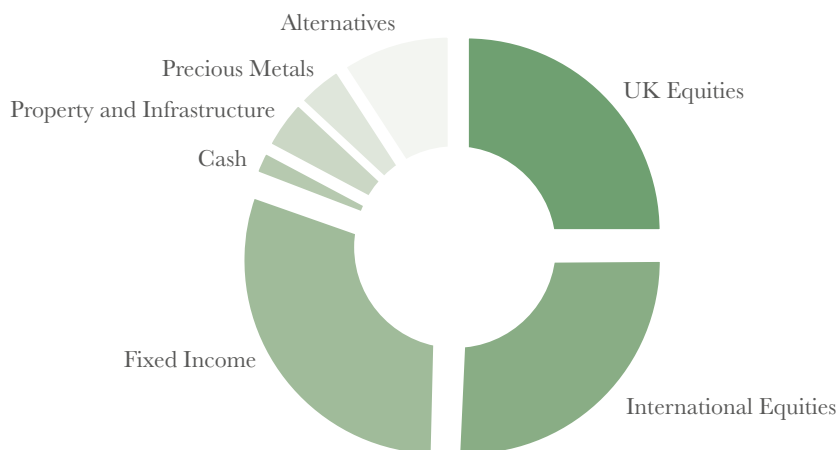
# What will your portfolio look like?

When you become a Hawksmoor client, your Investment Manager constructs your bespoke portfolio by carefully selecting from a wide range of funds, direct equities and assets. Our portfolios are multi asset and global, meaning each one is constructed to be diversified and to take advantage of investment opportunities across the world.

As an example, the chart below shows an illustrative allocation for a portfolio with a 'Moderate' risk level and a 'Balance of Capital growth and Income' investment objective.

The composition of **your** portfolio will be determined by your agreed risk level and your investment objective, and because it is uniquely tailored to you, it may also include any other specific investment preferences you have.

## Asset Allocation of an Illustrative Moderate Balanced Portfolio



The percentage amount invested in each different asset class, and the funds or investments that we use to access them, are continually reviewed by our in-house research teams.

These highly experienced and award-winning research teams conduct over 500 hours of fund manager meetings every year to carefully select the most appropriate investments for our clients.

In addition, your Investment Manager continues to make changes to your portfolio when necessary, to ensure your investments are always positioned to perform in line with your investment objectives.



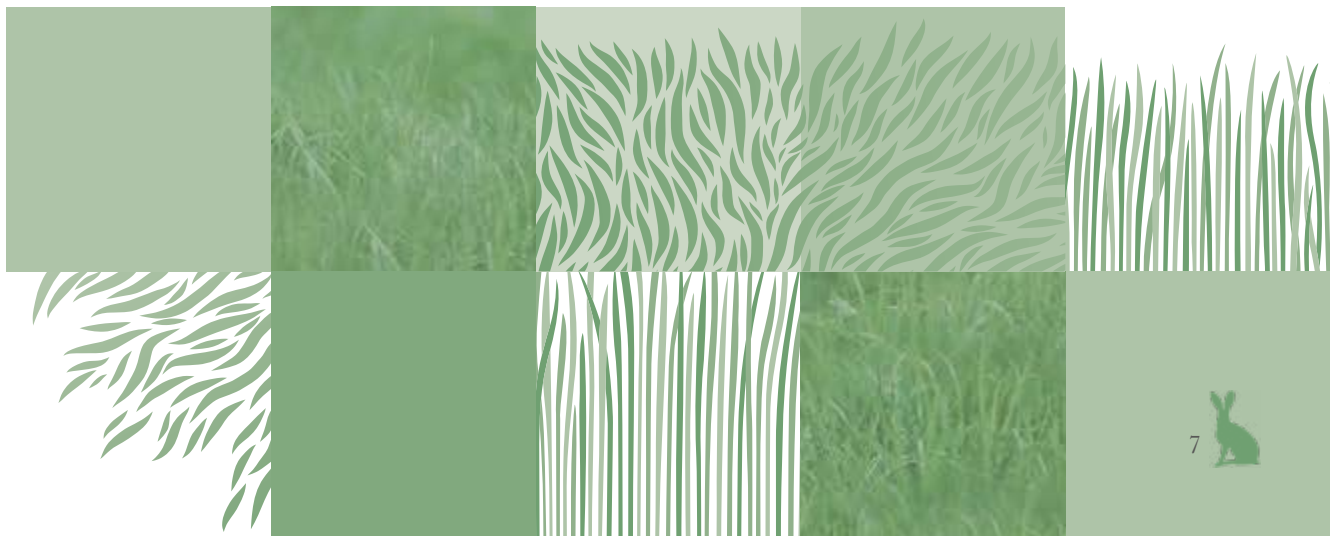
# We keep your money safe



Investments in our DPMS portfolios are held in a ring-fenced nominee account so we can deal with all the portfolio administration efficiently. This will include collecting and distributing dividends and other income payments, corporate actions and executing all trades promptly.

We outsource the safe custody of your investments to Pershing Securities, one of the most established UK custodian and settlement companies.

Pershing Securities is a subsidiary of the Bank of New York Mellon which is the largest provider of custody services in the world. Pershing Securities provides a comprehensive public indemnity policy to protect you against such events as fire, fraud or theft. Both Pershing Securities and Hawksmoor are covered by the Financial Services Compensation Scheme.



# Contact us



For more information on our Discretionary Portfolio Management Service, or to arrange a meeting with an Investment Manager, please contact your nearest Hawksmoor office:

**Exeter** 01392 410180

**London** 020 3948 4920

**Taunton** 01823 217777

**Dorchester** 01305 236000

**Bury St Edmunds** 01284 332600

Hawksmoor's Portfolio Management solutions include:

- a Sustainable World Portfolio Management Service offering portfolios of sustainable investments
- a Capstone Portfolio Management Service based on our range of award-winning multi asset funds

More information about Hawksmoor and our services is available at:  
[www.hawksmoorim.co.uk](http://www.hawksmoorim.co.uk)

Head Office: 17 Dix's Field, Exeter EX1 1QA

Offices also in: London, Taunton, Bath, Dorchester, Bury St Edmunds



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## IMPORTANT INFORMATION

Hawksmoor Investment Management ("Hawksmoor"), Hawksmoor is authorised and regulated by the Financial Conduct Authority. Its registered office is 2nd Floor Stratus House, Emperor Way, Exeter Business Park, Exeter, Devon EX1 3QS with company Number: 6307442.

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