

Cautious (0–40% Equity) Higher Income



0.6%

TOTAL RETURN
(LAST 1 YEAR)

2.7%

PORTFOLIO YIELD

0.25%+VAT

HAWKSMOOR ANNUAL
MANAGEMENT CHARGE

0.61%

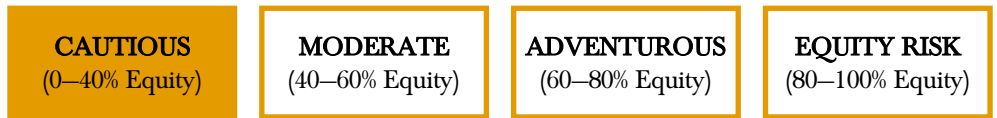
ONGOING CHARGES OF
UNDERLYING FUNDS

0.12%

TRANSACTIONAL AND
INCIDENTAL COSTS OF
UNDERLYING FUNDS

Lower Risk

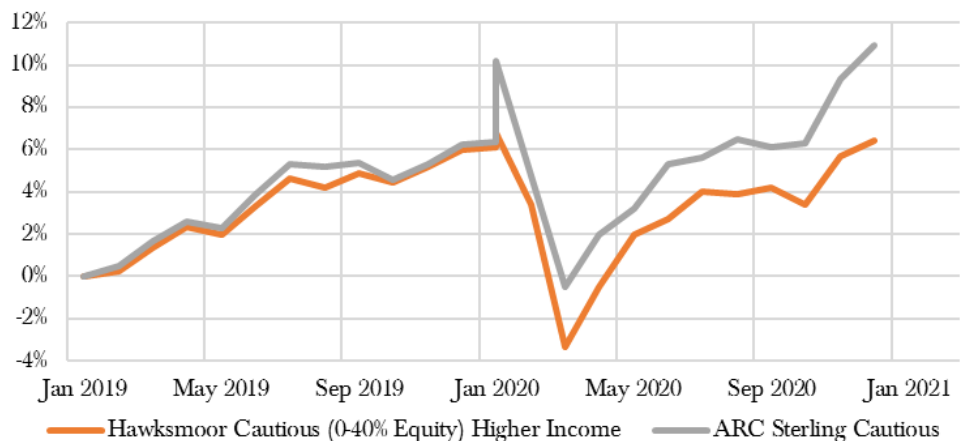
Higher Risk



Cautious (0–40% Equity) portfolios are intended for clients where a degree of equity risk is appropriate through an investment cycle, but where the longer-term preservation of capital is of primary importance. The portfolio will be managed with an asset allocation and risk profile consistent with a Defaqto Risk Profile of 3. You should be looking to invest for at least 3 years. The objective of this portfolio is to provide returns primarily from income.



PERFORMANCE



| | 1 month | 3 months | 6 months | 1 year | Since inception 04/02/2019 |
|--|---------|----------|----------|--------|-------------------------------|
| Hawksmoor Cautious (0-40% Equity) Higher Income Portfolio | -0.3 | 3.3 | 2.6 | 0.6 | 6.8 |
| <i>ARC Sterling Cautious</i> | -0.4 | 3.9 | 3.8 | 3.6 | 10.2 |

ALL OF OUR MODEL PORTFOLIOS ARE AVAILABLE ON THE FOLLOWING EIGHT PLATFORMS:

7IM

Aegon Retirement

Ascentric

Aviva

Novia

Nucleus

Standard Life Wrap

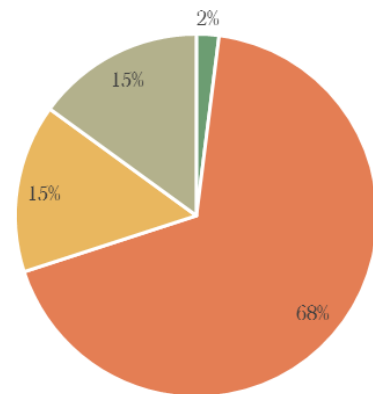
Transact

The performance shown is since launch (04/02/2019) on one of the platforms on which the portfolio was first available and there may be small variations in the asset allocation and past performance of the portfolio between platforms. Performance figures and charts sourced from Hawksmoor Research (total return, bid to bid, excluding fund rebates and excluding fees applied by the platform and adviser, but including Hawksmoor's fees without the VAT). These figures refer to the past and past performance is not a reliable indicator of future results.

CURRENT POSITIONING

| | Yield % | Risk Score | Weight % |
|--|------------|------------|-----------|
| Cash | 0.0 | 1 | 2 |
| Fixed Income | | | 68 |
| Royal London Short Dur. Global High Yield Bond | 5.0 | 3 | 7 |
| TwentyFour Monument Bond | 1.8 | 3 | 5 |
| Aviva Strategic Bond | 4.0 | 4 | 5 |
| Vanguard UK Short-Term Investment Grade Index | 1.5 | 2 | 10 |
| Church House Investment Grade Fixed Interest | 2.1 | 2.5 | 5 |
| M&G UK Inflation Linked Corporate Bond | 1.2 | 2 | 5 |
| Liontrust Sustainable Future Corporate Bond | 3.5 | 3.5 | 4 |
| Schroder Strategic Credit | 4.3 | 3 | 6 |
| Artemis Strategic Bond | 4.0 | 3.5 | 5 |
| Janus Henderson Strategic Bond | 2.9 | 4 | 6 |
| Allianz Strategic Bond | 3.1 | 6 | 5 |
| M&G Global Macro Bond | 3.0 | 4 | 5 |
| Equities | | | 15 |
| BlackRock UK Income | 4.3 | 5 | 3 |
| Fidelity Global Dividend | 2.7 | 5 | 4 |
| Schroder Global Equity Income | 4.6 | 5 | 3 |
| Janus Henderson Global Equity Income | 3.7 | 5 | 5 |
| Alternatives | | | 15 |
| Church House Tenax Absolute Return Strategies | 0.8 | 2.5 | 4 |
| Sarasin Global Real Estate Equity | 3.8 | 5 | 3 |
| RM Alternative Income | 4.9 | 4 | 5 |
| WisdomTree Physical Gold GBP ¹ | 0.0 | 3.5 | 3 |
| Weighted Average | 2.7 | 3.5 | |

ASSET ALLOCATION



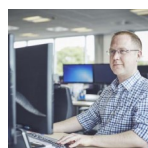
| | Policy Range | Current |
|----------------------|--------------|---------|
| Cash | 2% | 2% |
| Fixed Income | 45–65% | 68% |
| Equities | 0–40% | 15% |
| Alternatives | 15–35% | 15% |
| Hawksmoor Risk Score | 2–4 | 3.5 |

¹ WisdomTree Physical Gold is ineligible on Standard Life, Nucleus, Novia and Transact only. This allocation is instead retained in L&G All Stocks Gilt Index.

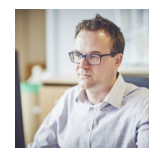
The Hawksmoor Model Portfolio Service is managed by:



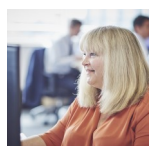
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Chief Investment Officer,
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Gary Martin
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James Clark
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Jill Gill
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For more information, please contact Jill Gill on 07846 932888 or at jill.gill@hawksmoorim.co.uk

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Moderate (40–60% Equity) Higher Income



32.6%

TOTAL RETURN
(LAST 5 YEARS)

3.2%

PORTFOLIO YIELD

0.25%+VAT

HAWKSMOOR ANNUAL
MANAGEMENT CHARGE

0.67%

ONGOING CHARGES OF
UNDERLYING FUNDS

0.17%

TRANSACTIONAL AND
INCIDENTAL COSTS OF
UNDERLYING FUNDS

Lower Risk

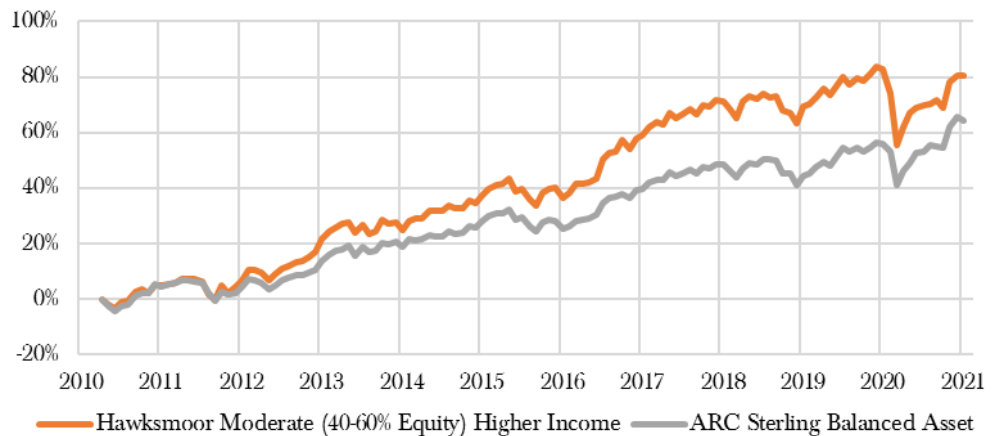
Higher Risk



Moderate (40–60% Equity) portfolios are intended for clients seeking returns in excess of inflation, typically with an equity content of close to 50%. These returns are not to the exclusion of the longer-term preservation of capital. The Portfolio value will tend to rise and fall with equity markets, but to a lesser degree. The portfolio will be managed with an asset allocation and risk profile consistent with a Dynamic Planner Fund Risk Profile of 5. You should be looking to invest for at least 5 years. The objective of this portfolio is to provide returns primarily from income.



PERFORMANCE



| | 3 months | 1 Year | 3 years | 5 years | Since inception 22/04/2010 |
|---|----------|--------|---------|---------|-------------------------------|
| Hawksmoor Moderate (40-60% Equity) Higher Income Portfolio | 6.7 | -1.1 | 5.7 | 32.6 | 80.4 |
| <i>ARC Sterling Balanced Asset</i> | 6.6 | 4.4 | 10.5 | 30.6 | 64.2 |

ALL OF OUR MODEL PORTFOLIOS ARE AVAILABLE ON THE FOLLOWING EIGHT PLATFORMS:

7IM

Aegon Retirement

Ascentric

Aviva

Novia

Nucleus

Standard Life Wrap

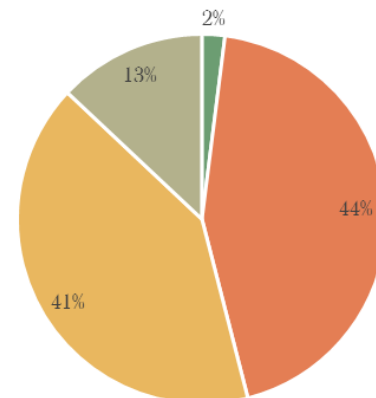
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CURRENT POSITIONING

| | Yield % | Risk Score | Weight % |
|--|------------|---------------|-------------|
| Cash | 0.0 | 1 | 2 |
| Fixed Income | | | 44 |
| Vanguard UK Short-Term Investment Grade Index | 1.5 | 2 | 4 |
| Royal London Short Dur. Global High Yield Bond | 5.0 | 3 | 5 |
| Aviva Strategic Bond | 3.2 | 4 | 4 |
| M&G Emerging Markets Bond | 6.3 | 5 | 4 |
| Artemis Strategic Bond | 4.0 | 3.5 | 5 |
| Allianz Strategic Bond | 3.1 | 6 | 4 |
| Janus Henderson Strategic Bond | 2.9 | 4 | 4 |
| TwentyFour Monument Bond | 1.8 | 3 | 4 |
| Liontrust Sustainable Future Corporate Bond | 3.5 | 3.5 | 5 |
| Schroder Strategic Credit | 4.3 | 3 | 5 |
| Equities | | | 41 |
| BlackRock UK Income | 4.3 | 5 | 4 |
| JO Hambro UK Dynamic | 4.3 | 6 | 4 |
| Franklin UK Equity Income | 5.4 | 5 | 3 |
| Troy Trojan Income | 4.2 | 5 | 3 |
| Liontrust US Income | 2.0 | 5 | 6 |
| BlackRock Continental European Income | 3.7 | 5 | 4 |
| Jupiter Japan Income | 2.3 | 6 | 3 |
| Schroder Asian Income Maximiser | 7.6 | 6 | 3 |
| Fidelity Asian Dividend | 4.4 | 6 | 2 |
| ASI Global Emerging Markets Equity Inc | 3.4 | 7 | 3 |
| Janus Henderson Global Equity Income | 3.7 | 5 | 4 |
| WHEB Sustainability | 0.8 | 6 | 2 |
| Alternatives | | | 13 |
| Sarasin Global Real Estate Equity | 3.8 | 5 | 4 |
| RM Alternative Income | 4.9 | 4 | 6 |
| WisdomTree Physical Gold GBP ¹ | 0.0 | 3.5 | 3 |
| Weighted Average | 3.2 | 4.4 | |

ASSET ALLOCATION



| | Policy Range | Current |
|-------------------------|-----------------|---------|
| Cash | 2% | 2% |
| Fixed Income | 30–50% | 44% |
| Equities | 40–60% | 41% |
| Alternatives | 0–20% | 13% |
| Hawksmoor Risk Score | 3–5 | 4.4 |

¹ WisdomTree Physical Gold is ineligible on Standard Life, Nucleus, Novia and Transact only. This allocation is instead retained in L&G All Stocks Gilt Index.

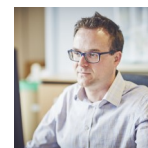
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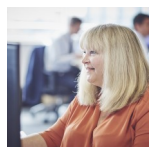
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Chief Investment Officer,
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Adventurous (60–80% Equity) Higher Income



0.8%

TOTAL RETURN
(LAST 1 YEAR)

3.5%

PORTFOLIO YIELD

0.25%+VAT

HAWKSMOOR ANNUAL
MANAGEMENT CHARGE

0.75%

ONGOING CHARGES OF
UNDERLYING FUNDS

0.23%

TRANSACTIONAL AND
INCIDENTAL COSTS OF
UNDERLYING FUNDS

Lower Risk

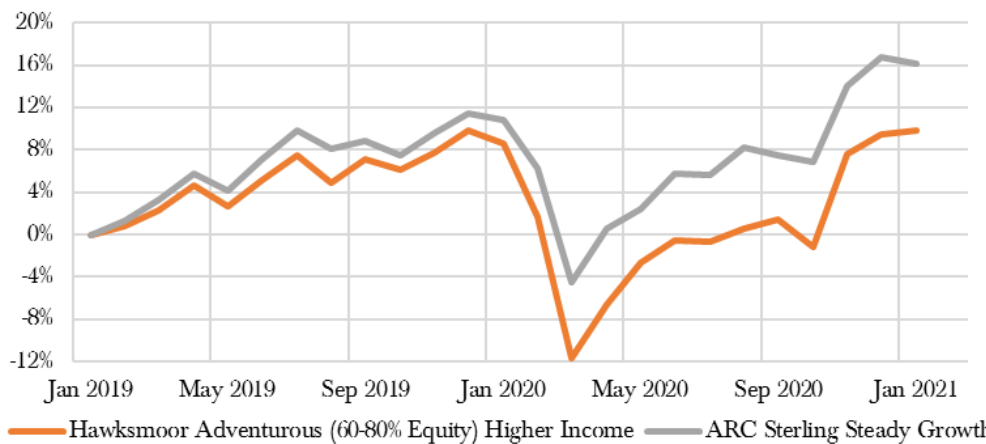
Higher Risk



Adventurous (60–80% Equity) portfolios are intended for clients seeking returns similar to global equity markets, though not to the exclusion of capital preservation. The Portfolio value will tend to rise and fall with equity markets. The portfolio will be managed with an asset allocation and risk profile consistent with a Defaqto Risk Profile of 6. You should be looking to invest for at least 7 years. The objective of this portfolio is to provide returns primarily from income.



PERFORMANCE



| | 1 month | 3 months | 6 months | 1 year | Since inception 04/02/2019 |
|--|---------|----------|----------|--------|-------------------------------|
| Hawksmoor Adventurous (60-80% Equity) Higher Income Portfolio | -0.6 | 10.6 | 10.1 | 0.8 | 9.8 |
| <i>ARC Sterling Steady Growth</i> | -0.3 | 8.7 | 9.2 | 4.8 | 16.1 |

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7IM

Aegon Retirement

Ascentric

Aviva

Novia

Nucleus

Standard Life Wrap

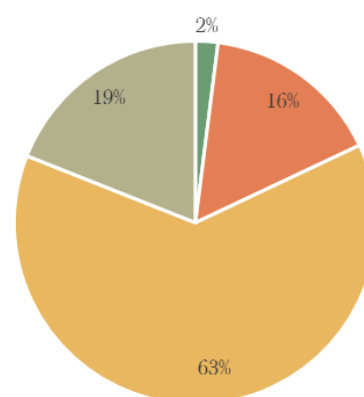
Transact

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CURRENT POSITIONING

| | Yield % | Risk Score | Weight % |
|--|------------|------------|-----------|
| Cash | 0.0 | 1 | 2 |
| Fixed Income | | | 16 |
| Artemis Strategic Bond | 4.0 | 3.5 | 5 |
| Baillie Gifford High Yield Bond | 4.2 | 4 | 5 |
| M&G Emerging Markets Bond | 6.3 | 5 | 3 |
| Polar Capital Global Convertibles | 4.1 | 4 | 3 |
| Equities | | | 63 |
| JO Hambro UK Dynamic | 4.3 | 6 | 4 |
| Franklin UK Equity Income | 5.4 | 5 | 5 |
| BlackRock UK Income | 4.3 | 5 | 5 |
| Janus Henderson UK Responsible Income | 4.2 | 5 | 4 |
| Aberforth UK Small Companies | 1.4 | 6 | 2 |
| Liontrust US Income | 2.0 | 5 | 7 |
| BlackRock Continental European Income | 3.7 | 5 | 5 |
| Schroder Asian Income Maximiser | 7.6 | 6 | 5 |
| Fidelity Asian Dividend | 4.4 | 6 | 3 |
| Baillie Gifford Japanese Income Growth | 2.4 | 7 | 4 |
| ASI Global Emerging Markets Equity Inc | 3.4 | 7 | 4 |
| Schroder Global Equity Income | 4.6 | 5 | 4 |
| Janus Henderson Global Equity Income | 3.7 | 5 | 4 |
| Fidelity Global Enhanced Income | 5.0 | 5 | 3 |
| Polar Capital Global Insurance | 1.5 | 5 | 4 |
| Alternatives | | | 19 |
| RM Alternative Income | 4.9 | 4 | 7 |
| Sarasin Global Real Estate Equity | 2.8 | 5 | 5 |
| Fortem Capital Progressive Growth ¹ | 4.0 | 4 | 5 |
| Merian Gold & Silver | 0.0 | 6.5 | 2 |
| Weighted Average | 3.5 | 5.0 | |

ASSET ALLOCATION



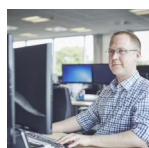
| | Policy Range | Current |
|----------------------|--------------|---------|
| Cash | 2% | 2% |
| Fixed Income | 10–30% | 16% |
| Equities | 60–80% | 63% |
| Alternatives | 0–20% | 19% |
| Hawksmoor Risk Score | 4–6 | 5.0 |

¹ Due to fund availability issues, the weighting assigned to *Fortem Capital Progressive Growth* is being held in *AHFM Defined Return* on Aegon only.

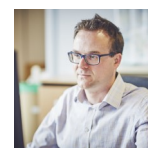
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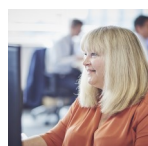
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Equity Risk (80–100% Equity) Higher Income



-2.5%

TOTAL RETURN
(LAST 1 YEAR)

3.4%

PORTFOLIO YIELD

0.25%+VAT

HAWKSMOOR ANNUAL
MANAGEMENT CHARGE

0.78%

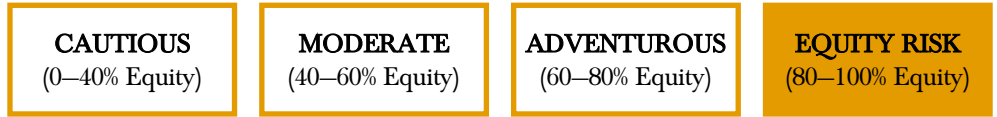
ONGOING CHARGES OF
UNDERLYING FUNDS

0.20%

TRANSACTIONAL AND
INCIDENTAL COSTS OF
UNDERLYING FUNDS

Lower Risk

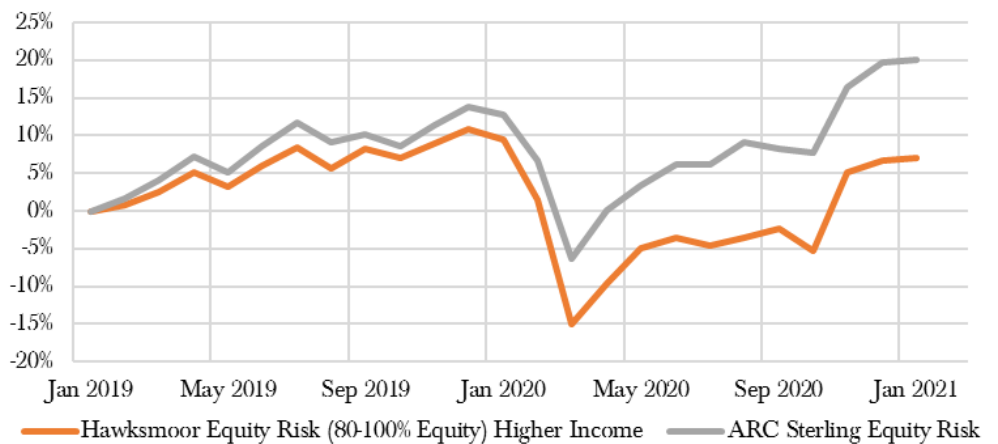
Higher Risk



Equity Risk (80–100% Equity) portfolios are intended for clients seeking returns similar to global equity markets. The Portfolio value will tend to rise and fall with equity markets. The portfolio will be managed with an asset allocation and risk profile consistent with a Defaqto Risk Profile of 7. You should be looking to invest for at least 7 years. The objective of this portfolio is to provide returns primarily from income.



PERFORMANCE



| | 1 month | 3 months | 6 months | 1 year | Since inception 04/02/2019 |
|---|---------|----------|----------|--------|-------------------------------|
| Hawksmoor Equity Risk (80-100% Equity) Higher Income Portfolio | -0.5 | 12.8 | 11.9 | -2.5 | 7.0 |
| <i>ARC Sterling Equity Risk</i> | -0.2 | 11.3 | 12.4 | 6.5 | 20.1 |

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7IM

Aegon Retirement

Ascentric

Aviva

Novia

Nucleus

Standard Life Wrap

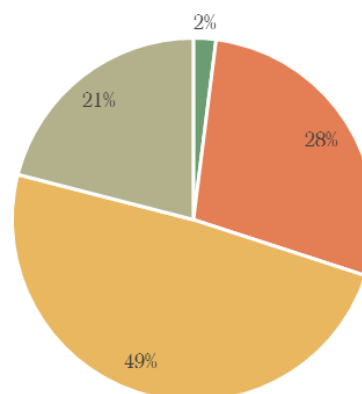
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CURRENT POSITIONING

| | Yield % | Risk Score | Weight % |
|--|------------|------------|-----------|
| Cash | 0.0 | 1 | 2 |
| UK Equities | | | 28 |
| Franklin UK Equity Income | 5.4 | 5 | 5 |
| Troy Trojan Income | 4.2 | 5 | 3 |
| JO Hambro UK Dynamic | 4.3 | 6 | 5 |
| BlackRock UK Income | 4.3 | 5 | 5 |
| Schroder Income Maximiser | 8.2 | 5 | 3 |
| Janus Henderson UK Responsible Income | 4.2 | 5 | 4 |
| Aberforth UK Small Companies | 1.4 | 6 | 3 |
| Global Equities | | | 49 |
| Liontrust US Income | 2.0 | 5 | 7 |
| BlackRock Continental European Income | 3.7 | 5 | 5 |
| Schroder Asian Income Maximiser | 7.6 | 6 | 6 |
| Fidelity Asian Dividend | 4.4 | 6 | 3 |
| Baillie Gifford Japanese Income Growth | 2.4 | 7 | 4 |
| Jupiter Japan Income | 2.4 | 6 | 3 |
| ASI Global Emerging Markets Equity Inc | 3.4 | 7 | 5 |
| Schroder Global Equity Income | 4.6 | 5 | 5 |
| Janus Henderson Global Equity Income | 3.7 | 5 | 6 |
| Fidelity Global Dividend | 2.7 | 5 | 5 |
| Thematic Equities | | | 21 |
| RM Alternative Income | 4.9 | 4 | 6 |
| Sarasin Global Real Estate Equity | 2.8 | 5 | 5 |
| Polar Capital Global Insurance | 1.5 | 5 | 4 |
| Fortem Capital Progressive Growth ¹ | 4.0 | 4 | 6 |
| Weighted Average | 3.4 | 5.2 | |

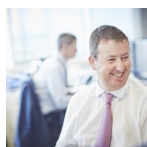
ASSET ALLOCATION



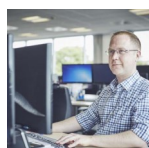
| | Policy Range | Current |
|----------------------|--------------|---------|
| Cash | 2% | 2% |
| UK Equities | | 28% |
| Global Equities | 80–100% | 49% |
| Thematic Equities | | 21% |
| Hawksmoor Risk Score | 5–8 | 5.2 |

¹ Due to fund availability issues, the weighting assigned to Fortem Capital Progressive Growth is being held in AHFM Defined Return on Aegon only.

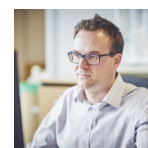
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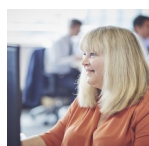
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