



HAWKSMOOR  
INVESTMENT MANAGEMENT



SUSTAINABLE WORLD  
PORTFOLIO MANAGEMENT  
SERVICE



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# Welcome to Hawksmoor



We are an award-winning investment management business that specialises in providing highly-personalised discretionary management services for private clients, trusts, pension schemes and charities.

As an independent business, we have no ties to a bank or any other financial institution.

Our investment philosophy is based on what we believe to be every investor's basic objective: that they should expect to receive a net return in excess of inflation, taxes and charges over the longer term.

Underlying this deceptively simple ambition is our rigorous and highly disciplined process, defining our asset allocation and security selection procedures.



# Our Sustainable World Portfolio Management Service

Our Sustainable World Portfolio Management Service is a bespoke discretionary service for people who want to make positive changes to the world through their investment choices. It allows investors to align their commitment to environmental and sustainability issues with their financial objectives, in a safe and robust way.

You may be concerned about how the companies you invest in make their money, or feel uneasy about profiting from industries such as tobacco, alcohol and armaments. Or perhaps you want to benefit from the growth opportunities within the green economy of the future, and side step those facing low-carbon oblivion. If so, our Sustainable World portfolios may be a good option for you.

The research and investment process of our Sustainable World Portfolio Management Service allows you the opportunity to combine your investment objectives with supporting the **United Nations Sustainable Development Goals** and the 2015 Paris Climate Change Agreement (COP 21) (*see Appendix 1*).

“An agreement that will empower us to chart a new path for our planet, a smart and responsible path, a sustainable path.”

*US Secretary of State John Kerry,  
on COP 21*





# Becoming a Hawksmoor client

Becoming a Hawksmoor client is easy and straightforward.

- 1 ● Your dedicated Investment Manager conducts an in-depth consultation with you to review
  - your attitude to and tolerance of risk
  - your requirement for income
  - your tax position
  - the areas of sustainable investment that resonate with your values

- 2 ● Your Investment Manager compiles an Investment Report that recommends investments which match your risk profile and individual requirements.

They discuss this with you to ensure the proposed portfolio fits with your financial objectives and reflects your chosen sustainability themes.

- 3 ● Your Investment Manager builds and then closely monitors your Sustainable World portfolio, ensuring it is suitably invested at all times.

Your annual ISA and Capital Gains Tax allowances can be utilised to ensure the portfolio is managed as tax efficiently as possible.

For further information about how we construct and manage your investment portfolio, please see our *Explaining Your Portfolio* document.

For details of our charges, please see the Sustainable World Portfolio Management Service *Schedule of Charges*.



# Communicating with you



You can have regular meetings with your Investment Manager to review your ongoing requirements, and to ensure these are reflected in your portfolio.



Every quarter, you will receive a valuation report which shows the performance of your portfolio relative to appropriate benchmarks or indices.



You will also receive quarterly updates on how your investments are contributing to furthering the United Nations Sustainable Development Goals.



After the end of each tax year, you will receive a comprehensive tax report, which includes a Consolidated Tax Voucher and a Capital Gains Tax report.



You can contact your Investment Manager directly by phone or email, and you can view your portfolio at any time via our online portal.



# Our sustainable investment research



With a variety of different approaches to 'ethical,' 'responsible' and 'sustainable' investment, it can be difficult to compare the selection criteria for equities and funds that are deemed to be sustainable.

It requires extensive research to assess sustainability credentials and to identify attractive investments.

Hawksmoor's award-winning in-house Research team has a rigorous and disciplined approach to selecting sustainable investments. This includes attending regular meetings and updates with the managers of sustainable funds, and conducting in-depth research across the universe of stocks that qualify for sustainable investment.

For our Sustainable World investment services the Research team uses the categories of Impact, Integration and Exclusion.





## Impact

We look for companies and funds which aim to make a positive impact on the environment and/or society. Examples of these include improving the efficiency of using natural resources, improving healthcare and quality of life, building a more inclusive society, providing financial services to under-served populations, and transforming energy systems to power low-carbon economies. They also include businesses that help companies increase efficiencies in all aspects of their operations.



## Integration

We look for companies and funds which embrace the best practices of sustainability, including Environmental, Social and Governance (ESG) issues. These include businesses that support and educate supply chains, source sustainably, support recycling and closed-loop systems, or improve energy efficiencies. We also look for companies that have employment best practices and engage with the communities in which they work.



## Exclusion

We aim to avoid investment in companies engaged in contentious issues. These may include involvement with tobacco, gambling, armaments and harmful chemicals. They also include any association with human rights abuses and exploitation of workforces or supply chains. We will avoid producers of fossil fuels, but will support businesses that help these companies transition to lower carbon and less polluting business models.



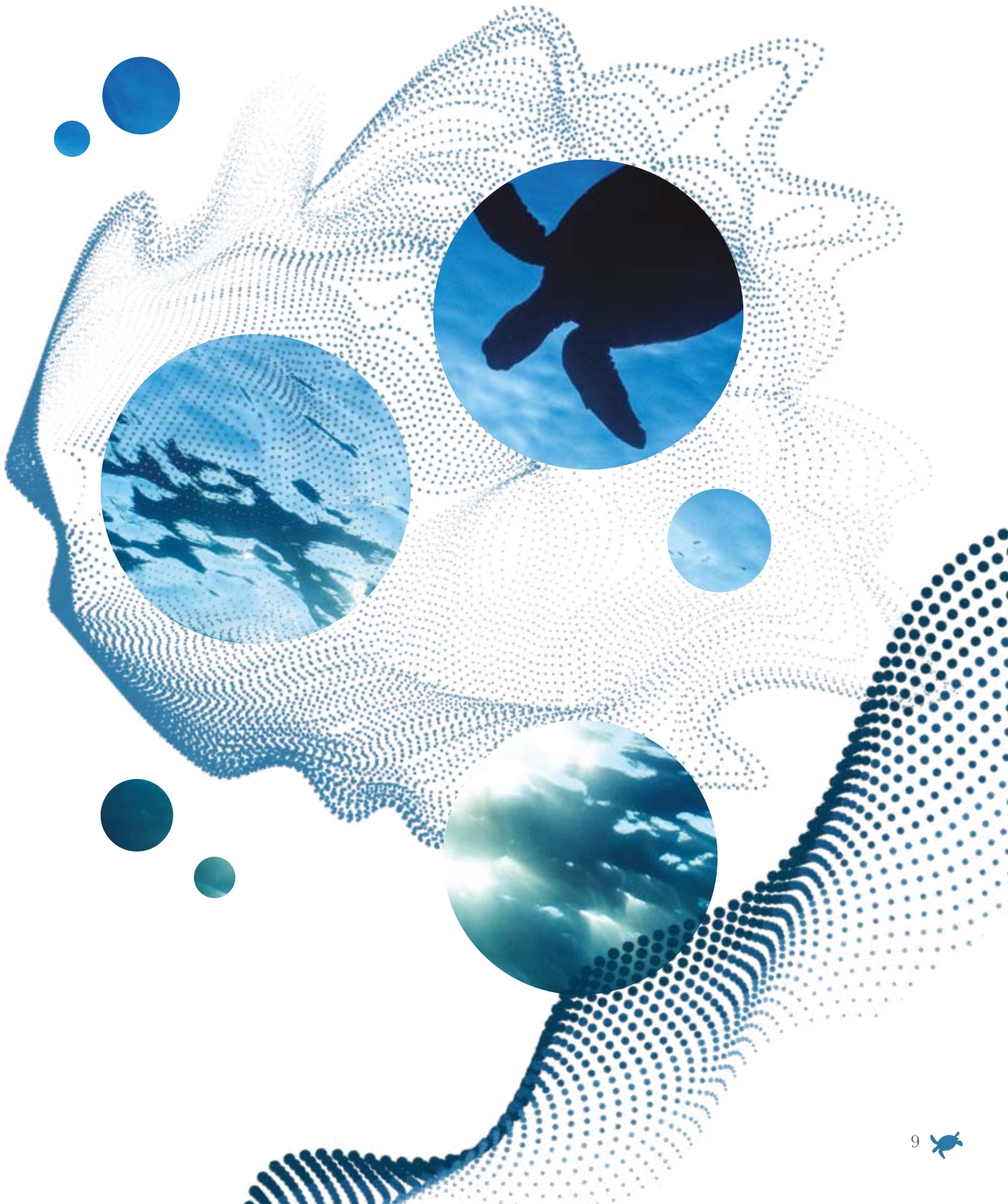
# We keep your money safe



Investments in our Sustainable World portfolios are held in a ring-fenced nominee account so we can deal with all the portfolio administration efficiently. This will include collecting and distributing dividends and other income payments, corporate actions and executing all trades promptly.

We outsource the safe custody of your investments to Pershing Securities, one of the longest established UK custodian and settlement companies with nearly \$1 trillion in assets under administration.

Pershing is a subsidiary of the Bank of New York Mellon which has more than \$33 trillion in assets under custody. Pershing provides a comprehensive public indemnity policy to protect you against such events as fire, fraud or theft. Both Pershing and Hawksmoor are covered by the Financial Services Compensation Scheme.





# Appendix 1

## United Nations Sustainable Development Goals

### What are they?

The Sustainable Development Goals (SDGs) are a collection of 17 global goals set by the United Nations General Assembly (UNGA), one of the six principal organs of the United Nations (UN). They act as a future global development framework.

The SDGs were set in 2015 as part of the UNGA's 2030 agenda and consist of the following:



(Source: [www.un.org/sustainabledevelopment](http://www.un.org/sustainabledevelopment))

### Where did they come from?

The United Nations led a post-2015 Development Agenda to define the future global development framework that would succeed the Millennium Development Goals, which acted as the framework from 2000 until 2015. The SDGs are an evolution of ideas and beliefs that date back to 1983 when the United Nations created the World Commission on Environment and Development, which defined sustainable development as development that “meets the needs of the present without compromising the ability of future generations to meet their own needs.”

### Why do we need them?

Adopted by all United Nations Member States, the SDGs are a call for action by all countries to promote peace and prosperity for people and the planet, now and into the future. They recognize that ending world issues such as poverty must go hand-in-hand with an approach that builds economic growth and addresses a range of social needs including education, health, equality, and job opportunities, while tackling climate change and working to preserve our planet.

## COP 21 - The Paris Agreement

COP 21 is the name given to the 2015 United Nations Climate Change Conference held in Paris. This was a significant milestone in the battle against global warming as the conference negotiated the Paris Agreement, a global agreement that aims to achieve a legally binding and universal agreement on climate change, with the aim of keeping global warming below 2°C. It was decided that the agreement would enter into force when joined by at least 55 countries which together represent 55% of global greenhouse gas emissions. On 22nd April 2016 (Earth Day), 174 countries signed the agreement and began adopting it within their own legal systems.

The Paris Agreement is undoubtedly an important milestone towards reducing the impact of global warming and was described as “a historic turning point” by Laurent Fabius, France’s Minister for Foreign Affairs and International Development at the time.

Since records began in 1880, the global average temperature has risen 0.8°C. Two-thirds of the warming has occurred since 1975, at a rate of roughly 0.15 - 0.20°C per decade.

*(Source: NASA’s Goddard Institute for Space Studies)*



# Contact us



For more information on our Sustainable World Portfolio Management Service, or to arrange a meeting with an Investment Manager, please contact your nearest Hawksmoor office:

<b>Exeter</b>	01392 410180
<b>London</b>	020 3948 4920
<b>Taunton</b>	01823 217777
<b>Dorchester</b>	01305 236000
<b>Bury St Edmunds</b>	01284 332600

Hawksmoor's Portfolio Management solutions include:

- other Discretionary Portfolio Management Service options
- an AIM Portfolio Service utilising Business Property Relief to assist with estate planning

Hawksmoor also manages a range of award-winning multi asset funds.

More information about Hawksmoor and our services is available at [www.hawksmoorim.co.uk](http://www.hawksmoorim.co.uk)



Head Office: 17 Dix's Field, Exeter EX1 1QA

Offices also in: London, Taunton, Dorchester, Bury St Edmunds

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## IMPORTANT INFORMATION

This financial promotion is issued by Hawksmoor Investment Management ("Hawksmoor"), Hawksmoor is authorised and regulated by the Financial Conduct Authority. Its registered office is 2nd Floor Stratus House, Emperor Way, Exeter Business Park, Exeter, Devon EX1 3QS with company Number: 6307442.

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