



HAWKSMOOR
INVESTMENT MANAGEMENT

GUIDE TO THE
CAPSTONE PORTFOLIO
MANAGEMENT SERVICE

Capstone Portfolio Management Service

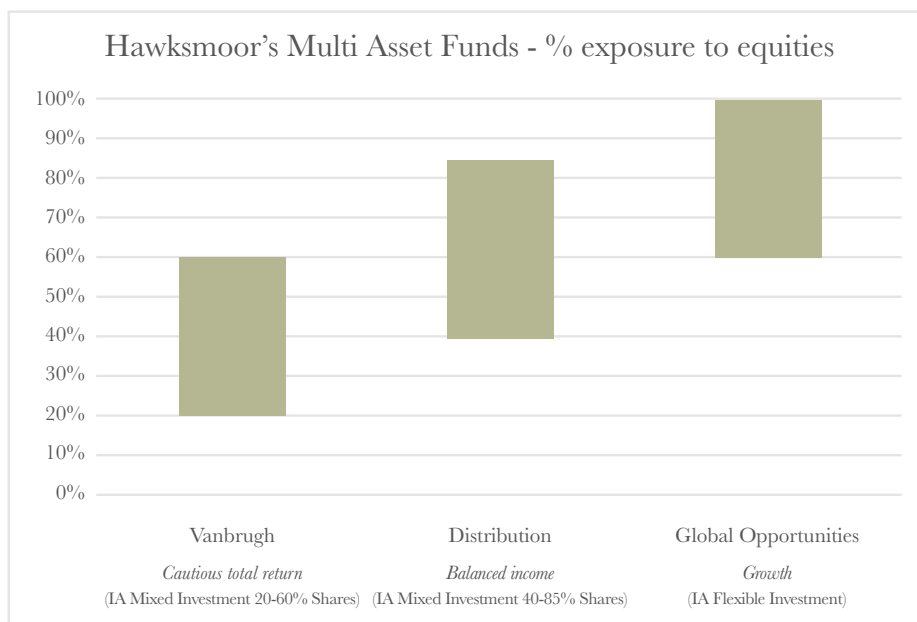
Hawksmoor's Capstone Portfolio Management Service is a bespoke solution to managing portfolios for private clients, trusts, pension schemes and charities, using a combination of Hawksmoor's Multi Asset Funds.

The Capstone Portfolio Management Service is a genuinely personal and 'bespoke' service based on our core belief that every client's requirements are different. It is particularly suitable for smaller portfolios (up to £100,000) where it might otherwise be difficult to achieve a sufficient diversification of investments.

Depending on your investment objective and agreed level of risk, the portfolio will consist of holdings in one or more of Hawksmoor's three managed Funds (or 'funds-of-funds') blended to meet your individual requirements.

For example, a 'Moderate' risk portfolio with an investment objective of a 'Balance of Income and Capital Growth' might consist of roughly similar-sized holdings in all three of the Hawksmoor Funds (your Investment Manager will decide the proportions in order to meet your risk and investment objectives).

The chart below gives an idea of the relative 'risk' of each of the three Hawksmoor Funds. It shows the permitted range of exposure to equities (the most 'volatile' of the major asset classes) for funds in their respective IA (Investment Association) fund sectors.



Please refer to the monthly Fund Factsheets (available on the Hawksmoor Investment Management website) for the current asset allocation, and equities exposure, of the three Funds.



Communicating with you (and your Financial Adviser, if you have one)

- As a client of Hawksmoor you will enjoy the highest level of personal service, including a dedicated Investment Manager supported by qualified investment assistants and an administration team.
- Your Investment Manager and his or her team will closely monitor the portfolio and, using our disciplined and structured investment process, will ensure it is suitably invested at all times.
- Every three months you will receive a formal valuation of your portfolio, which includes data showing the performance of the portfolio relative to appropriate benchmarks or indices. You can receive this in either electronic or hard copy form. If you require a Tax Report we can provide that too. You can also choose to view your portfolio online, through secure access via our website.
- The monthly Factsheets on the Hawksmoor Funds can be e-mailed to you each month, while both the Factsheets and the Funds' "KIIDs" (Key Investor Information Documents) are available on our website (www.hawksmoorim.co.uk).

Within your Capstone portfolio, we can use your annual ISA and Capital Gains Tax allowances to ensure the portfolio is managed as tax-efficiently as possible. The Capstone Portfolio Management Service is also available through other tax-efficient structures such as SIPPs and Offshore Insurance Bonds.

Custody of your investments

Your investments will be held by Pershing Securities Limited (one of the longest established UK custodian and settlement companies) securely segregated and ring-fenced from the assets of both Pershing and Hawksmoor. Your portfolio will also qualify for protection under the Financial Services Compensation Scheme.


Charges

Please refer to the Capstone Portfolio Management Service *Schedule of Charges* for details of the fees or charges that may apply to your portfolio.



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Hawksmoor Investment Management Limited is authorised and regulated by the Financial Conduct Authority (www.fca.org.uk). Registered Address: 2nd Floor Stratus House, Emperor Way, Exeter Business Park, Exeter EX1 3QS. Company Number 6307442.

This document does not constitute an offer or invitation to any person in respect of any investments described, nor should its content be interpreted as investment or tax advice.

Past performance is not a guide to future performance. The value of an investment and any income from it can fall as well as rise. You may not get back the amount you originally invested.

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