MODEL PORTFOLIO OBJECTIVES

HAWKSMOOR
FUND MANAGERS

Defensive Model Portfolio

This portfolio is designed for investors who are only prepared to accept very limited risk to capital from investing in financial markets and are therefore more willing to accept a lower return. The portfolio will be actively managed and diversified across global financial markets, however in order to reduce the risk of capital losses, an emphasis will be placed on fixed interest holdings and certain other defensive assets. An important way risk will be limited in the portfolio will be to have a maximum exposure to equities of 35% and a minimum allocation to fixed interest and cash of 45%. The portfolio is mainly invested in funds managed by highly regarded specialist managers who are experts in a particular field.

defaqto RISK RATING 2 LOWEST RISK
Dynamic Planner Fund Risk Profile 3 Low Risk
SYNAPTIC RISK RATING 2.5

Cautious Model Portfolio

This portfolio is designed for investors prepared to accept some risk from investing in financial markets, but who are content to target more modest returns in exchange for a lower risk approach. The portfolio provides an actively managed and diversified spread of investments giving access to opportunities across global financial markets. However, while being actively managed in its asset allocation, the portfolio will have a minimum allocation to equities of 20% and a maximum of 50%, together with a minimum allocation to fixed interest and cash of 30%. The portfolio is mainly invested in funds managed by highly regarded specialist managers who are experts in a particular field. These funds combine together to provide broad exposure to global markets together with a focus on areas chosen for their potential either to enhance the returns achieved from the portfolio or to lower its overall level of risk.

defaqto RISK RATING 4 LOW RISK
Dynamic Planner Fund Risk Profile 4 Lowest Medium Risk
SYNAPTIC RISK RATING 2.8

Balanced Model Portfolio

This portfolio is designed for investors with a moderate risk profile seeking reasonable rates of total return over the long term, some of which will come by way of income. The portfolio provides an actively managed and diversified spread of investments giving access to opportunities across global financial markets. However, while being actively managed in its asset allocation, the portfolio will have a minimum allocation to equities of 40% and a maximum of 70%, together with a minimum allocation to fixed interest and cash of 20%. The portfolio is mainly invested in funds managed by highly regarded specialist managers who are experts in a particular field. These funds combine together to provide broad exposure to global markets together with a focus on areas chosen for their potential to enhance the returns achieved from the portfolio.

defaqto RISK RATING 5 LOW TO MEDIUM RISK
Dynamic Planner Fund Risk Profile 5 Low Medium Risk
SYNAPTIC RISK RATING 3.8

Growth Model Portfolio

This portfolio is designed for investors who are prepared to accept an above average level of risk in seeking a high rate of total return over the long term. The portfolio provides an actively managed and diversified spread of investments giving access to opportunities chosen from across global financial markets. However, while being actively managed in its asset allocation, the portfolio will have a minimum exposure to equities of 60% and a maximum of 90%. The portfolio is mainly invested in funds managed by highly regarded specialist managers who are experts in a particular field. These funds are selected so that in combination they provide broad exposure to global markets together with a focus on areas chosen for their potential to achieve strong returns.

defaqto RISK RATING 7 MEDIUM TO HIGH RISK
Dynamic Planner Fund Risk Profile 6 High Medium Risk
SYNAPTIC RISK RATING 5.1

Aggressive Model Portfolio

This portfolio is designed for higher risk investors looking to maximise long term returns on their investment from investing in financial markets and who are able to tolerate frequent and sometimes significant fluctuations in the value of their investment in the short term. The portfolio provides an actively managed and diversified spread of investments giving access to opportunities across global financial markets. This unconstrained portfolio will have a high exposure to equities particularly in overseas markets and specialist asset classes that exhibit the best potential for growth over the long term. The portfolio is mainly invested in funds managed by highly regarded specialist managers who are experts in a particular field.

defaqto RISK RATING 8 HIGH RISK
Dynamic Planner Fund Risk Profile 7 Highest Medium Risk
SYNAPTIC RISK RATING 5.6

Distribution Model Portfolio

This portfolio is designed for investors whose priority is to achieve an attractive level of income from their investments and who are prepared to accept a moderate level of risk in doing so. However, while being actively managed in its asset allocation, the portfolio will have a minimum exposure to equities of 40% and a maximum of 70%, together with a minimum allocation to fixed interest and cash of 20%. We aim for the overall yield on the portfolio to be higher than that available from a composite index of financial assets and we believe a diversified approach to asset allocation will enhance the opportunities to produce an attractive income yield, and offer good prospects for capital growth.

defaqto RISK RATING 5 LOW TO MEDIUM RISK
SYNAPTIC RISK RATING 3.6

MODEL PORTFOLIO OBJECTIVES

HAWKSMOOR
FUND MANAGERS

The main objective of the Model Portfolios is to provide good returns relative to the respective portfolios' risk or yield objective. There is not currently an appropriate industry-recognised benchmark for Model Portfolios, but we will show their respective performance relative to the most appropriate Investment Association Sector as per the table below. However, it is important to note that these are not perfect given the Sectors consist of funds with greater investment flexibility and have structurally different asset allocation guidelines. The performance of the Model Portfolios can be found on Financial Express, or via Hawksmoor's monthly factsheets, which are published on our website.

Model Portfolio	Equity Parameters	Fixed Interest/Cash Parameters	Most appropriate IA Sector Performance Comparator
Defensive	0-35%	≥45%	IA Mixed Investment 0-35% Shares
Cautious	20-50%	≥30%	IA Mixed Investment 20-60% Shares
Balanced	40-70%	≥20%	IA Mixed Investment 40-85% Shares
Growth	60-90%	n/a	IA Flexible Investments
Aggressive	n/a	n/a	IA Flexible Investments
Distribution	40-70%	≥20%	IA Mixed Investment 40-85% Shares

PLATFORM AVAILABILITY

The Model Portfolios are available on the following platforms:

ascentric CHOICE THROUGH TECHNOLOGY

AVIVA

novia

Standard Life

transact take control

CONTACT INFORMATION

Portfolio Managers: Ben Conway, Daniel Lockyer & Richard Scott

Business Development Team: Paul Jordan, Trevor Cant & Jill Gill

Phone: 01392 410180

Email: bdteam@hawksmoorim.co.uk

Address: 17 Dix's Field, Exeter, EX1 1QA

Website: www.hawksmoorim.co.uk